

Brain Research Foundation Code of Ethics

Brain Research Foundation contributes to the public good by supporting basic scientific research and focusing public attention on the possibilities and problems of the human brain. Brain Research Foundation, its trustees, officers and employees are committed to carrying out Brain Research Foundation mission at the highest ethical standards of conduct. “Brain Research Foundation” will be further referred to as the “Foundation”.

This Code applies to all trustees, officers, employees, volunteers, consultants and other service providers of the Foundation. The principles of this Code are expressed in the following broad statements to guide ethical decision making. These statements provide a framework; they cannot and do not dictate conduct to cover particular situations. These statements also are subject to other policies of the Foundation’s that may be in effect from time to time.

- We recognize that each of us, individually, has a part in maintaining the Foundation’s reputation for the highest ethical standards.
- We use our best impartial judgment in all matters affecting the Foundation. In order to maintain independence of judgment and action, we endeavor to avoid conflicts of interest or appearances of conflicts that might arise because of economic or personal self-interest.
- We treat all individuals with whom we come in contact – donors, members of the general public, vendors, colleagues – in a fair and respectful manner.
- We are committed to the maximum utilization of employees’ abilities and to the principles of equal employment opportunity.
- We use the Foundation’s property solely for the benefit of the Foundation’s mission. We do not use the Foundation’s property for personal benefit, nor take said property with us when we cease working for the Foundation.
- We make every effort to ensure that we and the Brain Research Foundation are in compliance with all legal and regulatory matters. Any legal, regulatory or governmental inquiry or action shall be directed to, and handled by our legal counsel or other appropriate professional advisor or Foundation officer.
- Specific Considerations:
 - We do not use the Foundation’s funds or assets for any purpose that would be in violation of any applicable law or regulation.
 - We do not make contributions on behalf of the Foundation to any political candidate, party or campaign either within or outside of the United States.
 - We do not establish or maintain any fund or asset of the Foundation that is not reflected on the Foundation’s books and records.
 - We do not make, or cause to be made, any false, artificial, or misleading entries in the Foundation’s books and records.

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- Specific Considerations (continued):
 - We do not effect any transaction or make any payment on behalf of the Foundation with the intention or understanding that the transaction or payment is other than as described in the documentation evidencing the transaction or supporting the payment.
 - In any dealings with a supplier, customer, government official, or other person or entity, we do not request, accept, or offer to give anything of significant value, the purpose or result of which could be to influence the bona fide business relationship between the Foundation and such person or entity.
- If you have any information or knowledge regarding any transaction or activity that appears to be prohibited by this code, you shall promptly report the same to your supervisor, or at your election to the Chairman of the Board of Trustees, who shall bring such information or knowledge to the attention of the Chairman of the Finance and Investment Committee of the Board of Trustees.

I have read the above policy and understand its content.

X _____
Trustee/ Employee Signature

Date _____